

03-07-02

A

CONTINUING APPLICATION TRANSMITTAL FORM

(37 C.F.R. § 1.53(b))

ANTICIPATED CLASSIFICATION OF THIS APPLICATION:

PRIOR APPLICATION: 09/659,665

CLASS: SUBCLASS:

EXAMINER: Dix, Thomas A.

ART UNIT: 1642

To the Assistant Commissioner for Patents:

This is a request for filing a divisional application under 37 C.F.R. § 1.53(b), of prior application Serial No. 09/659,665 filed on September 11, 2000, which status is allowed, which is a continuation-in-part of U.S. application Serial No. 09/452,575, filed December 1, 1999, allowed, which is a divisional application of U.S. application Serial No. 08/736,049, filed October 22, 1996, which was issued as U.S. Patent No. 6,043,218 on March 28, 2000, of Thomas A. Dix, for "POSITIVELY CHARGED NON-NATURAL AMINO ACIDS, METHODS OF MAKING THEREOF, AND USE THEREOF IN PEPTIDES."

If any extension of time is necessary for the filing of this application, including any extension of time necessary in the prior application for maintaining copendency between the prior application and this application, and such extension has not otherwise been requested, Applicant hereby petitions for such an extension in the prior application.

1. Enclosed is a specification as prescribed by 35 U.S.C. § 112 containing a description pursuant to § 1.71 and at least one claim pursuant to § 1.75, and any drawing required by § 1.81(a).

2. The inventor(s) of the invention being claimed in this application is(are): Thomas A. Dix

3A. [X] This application is a continuation or divisional application (not a continuation-in-part) that:

- (i) names as inventors the same or fewer than all of the inventors named in the prior application; and
- (ii) contains no matter that would have been new matter in the prior application; and
- (iii) a copy of the executed oath or declaration filed in the parent application, showing the signature or an indication thereon that it was signed:

[X] is enclosed.
 [] will follow.

[] This application is being filed by less than all the inventors named in the prior application. In accordance with 37 C.F.R. § 1.53(d)(4), the Commissioner is requested to delete the name(s) of the following persons who are not inventors of the invention being claimed in this application:

--OR--

3B. [] This application is a continuation-in-part application, or a continuation or divisional application naming an inventor not named in the prior application. A newly executed oath or declaration:

[] is enclosed.
 [] will follow.

4. [] Amend the Title of the Invention as follows:

5. [] Amend the specification by inserting before the first line the sentence:

This application is a of, and claims the benefit of, application Serial No. , filed which status is , which application is hereby incorporated herein in its entirety by reference.

6. [] Priority of foreign application number , filed on in is claimed under 35 U.S.C. § 119.

[] The certified copy has been filed in prior application Serial No. , filed on .

| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|-----------|---|-----------|-----------|--------------------|----------|---|-----------|-----------|-----------------------------------------------|--|--|------------|---------|-------------|--|--|--|-----------|-------------------------------|--|--|--|-----------|----------------------------------------------------------------------------------|--|--|--|-----------|----------------------|--|--|--|-----------|
| 7. | [] | Cancel in this application original claims of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 8. | [] | A preliminary amendment is enclosed. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 9. | [X] | Two (2) formal drawings are enclosed. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 10. | [X] | Also enclosed is/are: Authorization to Treat Reply Requiring Extension of Time as Incorporating Petition for Extension of Time and Payment of Extension of Time Fee; Information Disclosure Statement; PTO Form-1449 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 11. | [X] | The prior application is assigned of record to: Medical University of South Carolina | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 12. | [X] | Applicant claims small entity status. See 37 C.F.R. § 1.27. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 13. | The filing fee is calculated as follows: | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 20%;">TOTAL CLAIMS</td> <td style="width: 20%;">28 - 20 =</td> <td style="width: 10%;">8</td> <td style="width: 20%;">x \$18.00</td> <td style="width: 30%;">\$ 144.00</td> </tr> <tr> <td>INDEPENDENT CLAIMS</td> <td>11 - 3 =</td> <td>8</td> <td>x \$84.00</td> <td>\$ 672.00</td> </tr> <tr> <td colspan="3">MULTIPLE DEPENDENT CLAIM(S) (IF APPLICABLE) =</td> <td>+ \$280.00</td> <td>\$ 0.00</td> </tr> <tr> <td colspan="3">BASIC FEE =</td> <td></td> <td>\$ 740.00</td> </tr> <tr> <td colspan="4">TOTAL OF ABOVE CALCULATIONS =</td> <td>\$1556.00</td> </tr> <tr> <td colspan="4">REDUCTION BY ½ FOR FILING BY SMALL ENTITY (NOTE 37 C.F.R. § 1.9, § 1.27, § 1.28)</td> <td>\$ 778.00</td> </tr> <tr> <td colspan="4">TOTAL NATIONAL FEE =</td> <td>\$ 778.00</td> </tr> </table> | | | TOTAL CLAIMS | 28 - 20 = | 8 | x \$18.00 | \$ 144.00 | INDEPENDENT CLAIMS | 11 - 3 = | 8 | x \$84.00 | \$ 672.00 | MULTIPLE DEPENDENT CLAIM(S) (IF APPLICABLE) = | | | + \$280.00 | \$ 0.00 | BASIC FEE = | | | | \$ 740.00 | TOTAL OF ABOVE CALCULATIONS = | | | | \$1556.00 | REDUCTION BY ½ FOR FILING BY SMALL ENTITY (NOTE 37 C.F.R. § 1.9, § 1.27, § 1.28) | | | | \$ 778.00 | TOTAL NATIONAL FEE = | | | | \$ 778.00 |
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| TOTAL NATIONAL FEE = | | | | \$ 778.00 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 14. | [X] | Credit Card Payment Form PTO-2038 for payment in the amount of \$778.00 is enclosed. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 15. | [X] | The Commissioner is hereby authorized to charge any fees which may be required under 37 C.F.R. § 1.16 and § 1.17, or credit any overpayment to Deposit Account No. 14-0629. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

16. [X] The Power of Attorney in the prior application is to:

- a. [X] The Power of Attorney appears in the original papers in the prior application.
- b. [] Since the Power does not appear in the original papers, a copy of the power in the prior application is enclosed.
- c. [X] Address all future correspondence to: (May only be completed by applicant, or attorney or agent of record.)



23859

PATENT TRADEMARK OFFICE

Gwendolyn D. Spratt, Esq.
NEEDLE & ROSENBERG, P.C.
Suite 1200, The Candler Building
127 Peachtree Street, N.E.
Atlanta, GA 30303-1811

17. I hereby verify that all statements made herein of my own knowledge are true; and further that these statements were made with the knowledge that willful false statements and the like are made punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

LAWRENCE A. VILLANUEVA
REG. NO. 43,968


SIGNATURE

03/06/02
DATE

- [] Inventor(s)
- [] Assignee of complete interest
- [X] Attorney or agent of record
- [] Filed under 37 C.F.R. § 1.34(a)
(Registration No. 43,968 is acting under 37 C.F.R. § 1.34(a):

EXPRESS MAIL NO. EL924191026US

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Invoice No. EL924191026US in an envelope addressed to: BOX PATENT APPLICATION, Commissioner for Patents, Washington, D.C. 20231, on the date shown below.


David Thorpe

3-6-02
DATE

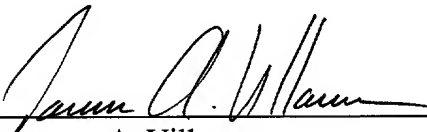
- 1 -

ATTORNEY DOCKET NO. 19113.0083U4
PATENT

The Commissioner is also authorized to charge all required extension of time fees under 37 C.F.R.
§ 1.17 to Deposit Account No. 14-0629.

Respectfully submitted,

NEEDLE & ROSENBERG, P.C.



Lawrence A. Villanueva
Registration No. 43,968

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CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. § 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail No. EL924191026US in an envelope addressed to: Box Patent Application, Commissioner for Patents, Washington, D.C. 20231, on the date shown below.


David Thorpe

3-6-02
Date